Dana C. MacGrath | MacGrath Arbitration

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OVERVIEW

Dana MacGrath is an independent arbitrator with decades of experience in international dispute resolution. Her unique mix of experience includes serving as an arbitrator, arbitration practitioner, and inhouse counsel.

Dana's high-stakes international arbitration matters have involved a variety of businesses and industries, among them oil and gas, LNG, energy, construction, finance, telecommunications, intellectual property, sale of goods, and international investments. She has also represented parties regarding the enforceability of arbitration agreements and arbitral awards under the New York Convention, disputes regarding forum selection and choice of law clauses, sovereign immunity, arbitral immunity, and discovery pursuant to 28 U.S.C. § 1782.

Most recently, Dana served in-house as Legal Counsel and Investment Manager at Omni Bridgeway, a leading global litigation and arbitration finance company, where she led the arbitration investments out of the United States while also serving as an independent arbitrator. Prior to joining Omni Bridgeway, Dana was a partner in the international arbitration group at Sidley Austin LLP. Previously, she served as an international arbitration practitioner and arbitrator at Allen & Overy LLP and O'Melveny & Myers LLP. She started her legal career in the litigation department at Sullivan & Cromwell.

Dana is active within the international arbitration field across arbitral institutions and organizations. She is on the Council of the AAA-ICDR, co-chairs the AAA Large Complex Case Committee, and is a member of the AAA Nominating and Governance Committee. Dana is also an active member of the CPR Arbitration Committee. Previously she served as Chair of the Arbitration Committee of the Association of the Bar of the City of New York and as co-chair of the CPR Institute Arbitration Rules Revision Committee that revised CPR's domestic and international arbitration rules. Additionally, Dana served on the ICC Commission on Arbitration Task Force on Reducing Time and Cost in Complex Arbitration and the ICC Commission on Arbitration Task Force on National Rules of Procedure for Recognition and Enforcement of Foreign Awards Pursuant to the New York Convention of 1958.

Dana has been recognized as a leader in international arbitration in various directories including Chambers USA - Nationwide – International Arbitration and Who's Who Legal: Arbitration. She has also been recognized in the field of arbitration finance in various directories including Leaders League - Arbitration Finance and LawDragon: Global 100 Leaders in Legal Finance.

Dana is a committed leader in the diversity and inclusion space. She has been President of the Board of Directors of ArbitralWomen since 2018, having joined the Board in 2016. She is also on the Global Steering Committee of the Equal Representation in Arbitration Pledge and on the Global Steering Committee of Racial Equality for Arbitration Lawyers. She is also on the Advisory Board of the Rising Arbitrators Initiative and the North American Committee for the Campaign for Greener Arbitrations.

Dana regularly speaks and writes on international arbitration issues. She co-authored a chapter on Covid-19 and Third-Party Funding published by Kluwer International in 2020, and several articles on recent case law regarding U.S. discovery pursuant to 28 U.S.C. § 1782 in aid of foreign arbitrations. She long served as an adjunct professor of law at Brooklyn Law School teaching a seminar on international commercial arbitration and coaching the Brooklyn Vis Moot team.

Dana earned her J.D. from New York University School of Law in 1996 and her B.A., *cum laude*, from Middlebury College in 1991. She is a member of the New York Bar. She is fluent in English and proficient in French, having majored in French at Middlebury and lived in Paris for a year.

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EXPERIENCE

Dana MacGrath has decades of experience in international arbitration as arbitrator and counsel. She has handled arbitrations under common law and civil law, seated around the globe. Her cases have been administered by a wide variety of international arbitral institutions (for example AAA/ICDR, ICC, ICSID, LCIA) and she has also handled ad hoc arbitrations.

Her international dispute resolution experience stems from her role as practitioner or arbitrator in arbitrations, counsel in international litigation, and in-house counsel with a focus on arbitration finance.

PROFESSIONAL BACKGROUND

MACGRATH ARBITRATION, New York, NY Independent Arbitrator	August 2021 to Present	
OMNI BRIDGEWAY, New York, NY Investment Manager and In-House Legal Counsel	January 2019 – August 2021	
SIDLEY AUSTIN LLP , New York, NY <i>Partner and Counsel, International Arbitration Practice Group</i>	September 2013 – January 2019	
ALLEN & OVERY LLP, New York, NY Counsel, Litigation and Arbitration	October 2006 – September 2013	
O'MELVENY & MYERS LLP , New York, NY Associate and Counsel, Litigation and Arbitration	November 1997 – October 2006	
SULLIVAN & CROMWELL LLP, New York, NY Summer Associate and Associate, Litigation	Summer 1995 & Sept. 1996 – Nov. 1997	
EDUCATION		

NEW YORK UNIVERSITY SCHOOL OF LAW, New York, NY	J.D., 1996

MIDDLEBURY COLLEGE, Middlebury, VT

BAR ADMISSION

Admitted to the New York Bar in 1997

ILLUSTRATIVE MATTERS

International Arbitration – Illustrative Experience

- Commercial dispute under the AAA Commercial Arbitration Rules between a US corporation and a Canadian corporation regarding enforceability of a contractual provision in the parties' agreement.
- Commercial dispute under the ICC Rules between US based international supplier and foreign counter party regarding joint venture dispute.
- Commercial dispute under the ICC Arbitration Rules between an Asian electronics manufacturer and a US based buyer.
- Commercial dispute under the ICDR Arbitration Rules between an Asia based telecommunications equipment manufacturer and a European company relating to patent license agreement and FRAND issues re SEPs.

B.A., cum laude, 1991

- Commercial dispute under the ICDR Arbitration Rules between Asia based cellular telecommunications company and multinational corporation relating to patent portfolio licensing.
- Two related commercial disputes under the LCIA Arbitration Rules between subsidiary of a stateowned electricity producer and contractual counterparties relating to the operation of a natural gas pipeline system.
- Commercial dispute under ICC Arbitration Rules between liquified natural gas (LNG) producer and European purchaser relating to a contract sales price review / price reopener provision (price review arbitration).
- Commercial dispute under the ICC Rules between a subsidiary of U.S. financial institution and a South American sovereign involving claims for more than US\$700 million.
- Two commercial disputes under the ICC Rules between a European aerospace company and its counterparty.
- Commercial dispute under the ICC Rules between an LNG producer and European purchaser that resolved pricing issues under a long-term contact.
- Two commercial arbitration disputes between an Asia based oil company and its contractual counterparty relating to a joint venture to explore for oil in Siberia.
- Commercial dispute under the ICC Arbitration Rules between financial institutions and the Republic of Uruguay.
- Two parallel commercial arbitration disputes between U.S. based hotel builder/operator and the owner of the hotel, relating to international hotel construction project in Panama.

International Litigation – Illustrative Experience

- Counsel for successful arbitration claimants in multiple award enforcement proceedings under the New York Convention in U.S. courts to confirm arbitration awards as U.S. judgments (primarily New York federal courts and D.C. federal courts), including two successful cases against the Republic of Uruguay and three successful cases against the Government of Belize.
- Counsel for arbitral institution in New York litigation involving doctrine of arbitral immunity.
- Counsel for financial institution in a joint venture dispute pending in federal court in New York in connection with a project in Mexico.
- Counsel for multinational oil company in a lawsuit alleging human rights violations arising from the construction of a natural gas pipeline in Asia.
- Counsel for financial institution in connection with internal investigation and ancillary New York federal court litigation.
- Counsel for U.S. corporation in antitrust class action litigation.

Investment Manager and In House Legal Counsel

Dana MacGrath spent more than two years in-house at Omni Bridgeway, a leading global litigation and arbitration finance company, where she led the arbitration investments out of the United States, served as co-leader of the company's global arbitration group, assessed arbitration investment opportunities, advised management on legal issues relating to arbitration, presented investment opportunities to the Investment Committee, and monitored funded matters. Additionally, she delivered presentations to law firms and companies on third-party funding and regular spoke at conferences and webinars on arbitration issues and third-party funding in international arbitration.

RECOGNITION

- Chambers USA Nationwide International Arbitration
- Who's Who Legal: Arbitration: Global Leader Recommended
- Latinvex "Latin America's Top 100 Female Lawyers"
- Expert Guides' Guide to the World's Leading Experts in Commercial Arbitration
- Leaders League Arbitration Finance
- LawDragon: Global 100 Leaders in Legal Finance

PROFESSIONAL ACTIVITIES

Bar Associations and Arbitral Institutions

NEW YORK CITY BAR ASSOCIATION

Chair, Arbitration Committee, (September 2015 to June 2018); Affiliate Member of Arbitration Committee (September 2018 to Present); Affiliate Member of ICDC (September 2018 to Present)

AMERICAN ARBITRATION ASSOCIATION–INTERNATIONAL CENTRE FOR DISPUTE RESOLUTION

Council Member (2019 to Present); Co-Chair, Large Complex Case Committee (2019 to Present); Member, Nominating and Governance Committee (2019 to Present)

ICC COMMISSION ON ARBITRATION

Member, Task Force on Reducing Time and Cost in Complex Arbitration; Task Force on National Rules of Procedure for Recognition and Enforcement of Foreign Awards

INTERNATIONAL INSTITUTE FOR CONFLICT PREVENTION AND RESOLUTION (CPR INSTITUTE) Co-Chair, Arbitration Rules Revision Committee (2017 to 2019); Co-Chair, CPR-YADR (2009 to 2015)

NEW YORK INTERNATIONAL ARBITRATION CENTER (NYIAC) Member, Advisory Council (2020 to 2021)

AMERICAN REVIEW OF INTERNATIONAL ARBITRATION (COLUMBIA LAW SCHOOL) Member, Peer Review Board (2019 to Present)

Academia

BROOKLYN LAW SCHOOL Adjunct Professor of Law, International Commercial Arbitration Seminar and Faculty Coach (September 2009 to June 2021)

CORNELL LAW SCHOOL

Adjunct Professor of Law, International Business Transactions (Fall 2008)

Diversity and Inclusion

ARBITRALWOMEN – President (2018-Present); Board Member (2016-Present)

EQUAL REPRESENTATION IN ARBITRATION PLEDGE –Global Steering Committee (2019 to Present)

RACIAL EQUALITY FOR ARBITRATION LAWYERS –Steering Committee Member (2020 to Present)

RISING ARBITRATORS INITIATIVE (RAI) – Member, Advisory Committee (2021 to Present)

Community Service

CAMPAIGN FOR GREENER ARBITRATIONS – Member, North American Committee (2021 to Present)

PUBLICATIONS

- "<u>Mute Off Thursdays Celebrates its First Anniversary on 15th April 2021!</u>" ArbitralWomen News Page (April 2021)
- "Launch of Racial Equality for Arbitration Lawyers (REAL)," ArbitralWomen News Page (December 2020).
- "Launch of the ERA Pledge Corporate Guidelines!" ArbitralWomen News Page (November 2020).
- "<u>Circuit Split on 28 U.S.C. § 1782</u>: Are U.S. Courts Trending Against Discovery for Foreign Private <u>Arbitrations</u>?" Kluwer Arbitration Blog (October 2020).
- "Second Circuit Rules in *Hanwei Guo* that Section 1782 Does Not Apply to Private Commercial Arbitrations," ICC Dispute Resolution Bulletin, Issue 3 (2020).
- "<u>Third-Party Funding and Covid-19</u>," Chapter 10 of <u>International Arbitration and the Covid-19</u> <u>Revolution</u>, Kluwer International (October 2020).
- "<u>The Launch of Mute Off Thursdays Virtual Networking and Knowledge-Exchange for Women in</u> <u>Arbitration</u>," ArbitralWomen News Page (May 2020)
- "<u>Response by the European Commission on "CETA Arbitrator Roster Where Are the Women</u>?" ArbitralWomen News Page (May 2020)
- "<u>Necessary Change: Planning Past Bias Through the ArbitralWomen Diversity Toolkit™</u>" ArbitralWomen News Page (May 2020)
- "Several Firsts for the 2020 Vis East Moot (Virtual Vis East VVE)," ArbitralWomen News Page (April 2020).
- "<u>CETA List of Arbitrators Where are the Women?</u>" ArbitralWomen News Page (January 2020).
- "Increased Transparency in Arbitrator Appointment Procedures in Commercial Arbitrations," Practical Law Arbitration Blog (April 25, 2018).
- "Landmark Decision on Arbitral Immunity: The Risk of Sanctions for Lawsuits Against Arbitrators," Alternatives, Vol. 33 No. 9 (October 2015).
- "Arbitration of International Commercial Disputes," <u>Successful Partnering Between Inside and</u> <u>Outside Counsel</u> (Robert L. Haig, ed., 2015).
- "Does A Private International Arbitration Fall Within 'Foreign or International Tribunal' in 28 U.S.C. § 1782? Practice Pointers for Seeking Discovery in Aid of Arbitration after *Intel Corp. v. Advanced Micro Devices, Inc.*," <u>American Arbitration Association Yearbook on Arbitration and the Law</u>, Juris Publishing, Inc. (2011).

PRESENTATIONS

- Initiatives in International Arbitration, International Arbitration Juniors, Clubhouse (July 2021).
- SIAC-CIArb Virtual Debate on Repeat Arbitrator Appointments from the Same Party, Webinar (July 2021).
- "Hot Topics in International Dispute Resolution," Virtual ACR GNY Annual Conference, LemonADR! (June 2021).
- "Arbitrating the Vis Case in Practice," Bucerius Law School and Center for International Dispute Resolution, Webinar (May 2021).
- "Cutting Edge Issues in Commercial Arbitration," New York Law School and American Arbitration Association, Webinar (April 2021).
- "Ethics in International Arbitration Award Enforcement," Juris Legal Information, Webinar (April 2021).
- "Fireside Chat with Dana MacGrath," Arbitration Happy Hour on Clubhouse (March 2021).
- "Dana MacGrath im Porträt," Breaking Through, Interview (March 2021).
- "Third Party Financing with Dana MacGrath," The Tea on International Arbitration, International Arbitration Podcast (March 2021).
- "Women in Mediation: Negotiating Above Gender, Race and Systemic Bias in the Selection and Treatment of Neutrals," Jamacia International Arbitration Center (JAIAC), Webinar (February 2021).
- "ADR: Diversity and Inclusivity," International Centre for Alternative Dispute Resolution (ICADR), Webinar (December 2020).
- "Stronger Together: Colloquy on Diversity and Perseverance," New York Arbitration Week, Webinar (November 2020).
- "Arbitration in Times of Pandemic: Procedural changes and impact on diversity: for better or worse?" Dubai Arbitration Week, Webinar (November 2020).
- "The enforcement of intra-EU awards: will the US come to the rescue?" Freshfields Bruckhaus Deringer, Webinar (November 2020).
- "Does Third Party Funding Help or Harm International Arbitration Contrasting Perspectives of Parties, Arbitrators and Funders," UIA International Association of Lawyers, Webinar (October 2020).
- "Disclosure Practice and Other Ethical Matters: The Impact of Recent Rulings on Arbitrators and Experts," GAR Interactive North America, Webinar (October 2020).
- "Fireside Chat with ArbitralWomen President Dana MacGrath," Australia Arbitration Week, Webinar (October 2020).

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- "The Great Debate Part 2: Third Party Funding of International Commercial Arbitrations," Arbinsol, Webinar (September 2020).
- "International Arbitration, Insolvency and Third-Party Funding The Post-Pandemic State of Affairs," Center for International Legal Studies (CILS), Webinar (June 2020).
- "Third-Party Funding in International Arbitration and Cross Border Litigation: The Current State of Play," American Bar Association International Law Section (ABA ILS), Webinar (June 2020).
- Third-Party Funding Part II: The Nuts and Bolts of the Funding Process," Garibaldi Inn, Webinar (May 2020).
- "Cutting Edge Issues in Commercial Arbitration," American Arbitration Association, Webinar (May 2020).
- "Early-Stage Business and Damages Evaluation in International Arbitration," ICC Young Arbitrators Forum, New York, NY (February 2020).
- "A Year in Review in Regional and International Arbitration," GAR Live Women in Arbitration, London (December 2019).
- "Should regionalism be an item on the agenda?" 17th ICC Miami Conference on International Arbitration, Miami (November 2019).
- "ArbitralWomen Challenge: New Ways to Champion Diversity," Hong Kong Arbitration Week, Hong Kong (October 2019).
- "Private Equity, Financial Services and Insurance Disputes," Hong Kong Arbitration Week, Hong Kong (October 2019).
- "Third Party Funding in Arbitration: What Challenges Lie Ahead," 2019 Brazilian Arbitration Day at NYU, New York (September 2019).
- "PLI: International Arbitration 2019," New York (June 2019).
- "Arbitration Finance: A Deep Dive," Harvard Litigation Finance Symposium, Cambridge, MA (April 2019).
- "What Parties and Their Counsel Have a Right to Expect and Arbitrators Should Be Delivering: Arbitration at Its Best," NYSBA Arbitration, New York (March 2019).
- "Diversity in Arbitration," Columbia Arbitration Day, New York (March 2019).
- "Hot Topics in International Arbitration," iLaw 2019 International Law Conference, Miami (February 2019).
- CPR Annual Meeting (February 2019).
- "The Future of ADR. 2019 CPR Canada Conference Resolving the Unresolvable," CPR Institute Canada Conference, Toronto (February 2019).
- "The Equal Representation in Arbitration Pledge: Past, Present and Future," NYU School of Law, New York (April 2018).

- "Careers in International Dispute Resolution Insights and Perspectives," ArbitralWomen Panel Discussion, Vienna (March 2018).
- "Effective Dispute Resolution Clauses: Perspectives on Drafting Do's & Don'ts," CPR Annual Meeting 2018, Atlanta (March 2018).
- "International Arbitration and the Law of the Second Circuit: International Perspectives," cosponsored by New York International Arbitration Center (NYIAC), Center for International Commercial and Investment Arbitration (CICIA) and Sidley Austin LLP, New York (March 2018).
- "The Glass Ceiling: Challenges that Women Face in International Arbitration," ArbitralWomen Panel Discussion co-organized by Sidley Austin LLP, New York (September 2017).
- Co-Moderator of The GAR Live symposium (Tylney Hall format) GAR Live New York, New York (September 2017).
- "Enforcement of Arbitral Awards," ArbitralWomen Panel Discussion at BVI Arbitration Week at the BVI International Arbitration Centre, Tortola (May 2017).
- "Looking Ahead: Effectively Arbitrating Complex Disputes in the Global Energy Sector The Role of Experts in Gas Price Review Arbitration," ICDR Oil & Gas Arbitration Conference, Houston (April 2017).
- "Cross-Examination in International Arbitration," International Institute for Conflict Prevention & Resolution (CPR) Annual Meeting, Miami (March 2017).
- "Key Developments in 2016," CPR Y-ADR/New York International Arbitration (NYIAC) Seminar, New York (December 2016).
- "Y-ADR Mock Procedural Hearing under CPR Rules for Administered Arbitration of International Disputes," Chair of Mock Arbitral Tribunal, Washington, D.C. (September 2016).
- "Proof of Foreign Law A Comparison of the Approaches in the U.S. Courts and in International Arbitration," 2016 Spring Meeting of ABA Section of International Law, New York (April 2016).
- "Choosing Arbitration or Litigation to Resolve Disputes: In-House Counsel Perspectives," CPR Y-ADR Corporate Roundtable, Chicago (July 2015).
- "Corruption and International Arbitration," co-sponsored by Young ICCA and University of Pennsylvania Law School, Philadelphia (April 2015).